

3

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63)</b>  <input type="checkbox"/> Declaration Submitted with Initial Filing      OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)	<b>Attorney Docket Number</b>	00-0213.06
	<b>First Named Inventor</b>	William J. Wied
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	09 / 721,421
	<b>Filing Date</b>	November 22, 2000
	<b>Group Art Unit</b>	2163
	<b>Examiner Name</b>	

**As a below named inventor, I hereby declare that:**

My residence, mailing address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**Computer Based System and Method for Facilitating Commerce Between Shippers and Carriers**

(Title of the Invention)

the specification of which

☐ is attached hereto

OR

☒ was filed on (MM/DD/YYYY) **November 22, 2000** as United States Application Number or PCT International (if applicable).

Application Number **09/721,421** and was amended on (MM/DD/YYYY) \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/194,727	04/05/2000	
60/252,183	11/20/2000	

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION — Utility or Design Patent Application

Direct all correspondence to:

☒ Customer Number  
or Bar Code Label

021491

OR ☐

Correspondence address below

Name

Address

Address

City

State

ZIP

Country

Telephone

Fax

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

NAME OF SOLE OR FIRST INVENTOR :

☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any])

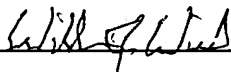
William J.

Family Name

or Surname

Wied

Inventor's  
Signature



Date 12/11/00

Residence: City

Dacula

State GA

Country US

Citizenship USA

Mailing Address

1155 Lamont Circle

Mailing Address

1155 Lamont Circle

City

Dacula

State

Georgia

ZIP

30019

Country US

NAME OF SECOND INVENTOR:

☐ A petition has been filed for this unsigned inventor

Given Name

(first and middle [if any])

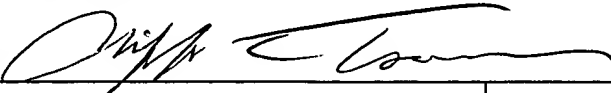
Clifford C.

Family Name

or Surname

Isaacson

Inventor's  
Signature



Date 12/28/00

Residence: City

Tucker

State GA

Country US

Citizenship USA

Mailing Address

3174 Evelyn Street

Mailing Address

3174 Evelyn Street

City

Tucker

State

Georgia

ZIP

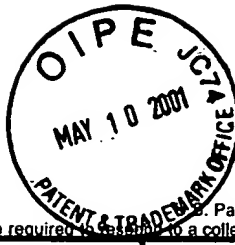
30084

Country

US

☒ Additional inventors are being named on the 2 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto.

Please type a plus sign (+) inside this box → ☐

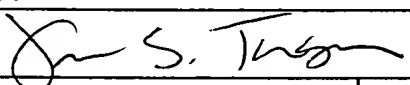
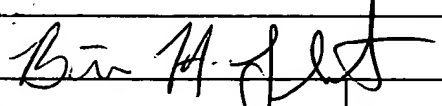
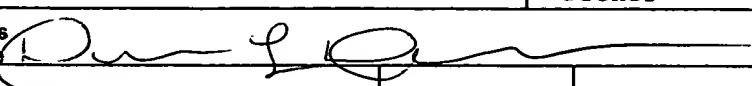


PTO/SB/02A (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION

ADDITIONAL INVENTOR(S)  
Supplemental Sheet  
Page 1 of 2

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
John S.		Thomson	
Inventor's Signature 		Date <u>12/26/00</u>	
Residence: City Atlanta	State GA	Country US	Citizenship USA
Mailing Address 2325 Pine Grove Drive			
Mailing Address 2325 Pine Grove Drive			
City Atlanta	State GA	ZIP 30318	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Brian		Flint	
Inventor's Signature 		Date <u>12/19/00</u>	
Residence: City Snellville	State GA	Country US	Citizenship USA
Mailing Address 1750 Manor Brook Way			
Mailing Address 1750 Manor Brook Way			
City Snellville	State GA	ZIP 30078	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Diane L.		Dreher	
Inventor's Signature 		Date <u>12/11/2000</u>	
Residence: City Atlanta	State GA	Country US	Citizenship USA
Mailing Address 1101 Juniper Street, Suite 127			
Mailing Address 1101 Juniper Street, Suite 127			
City Atlanta	State GA	ZIP 30309	Country US

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box → ☐



PTO/SB/02A (11-00)  
Approved for use through 10/31/2002. OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

## DECLARATION

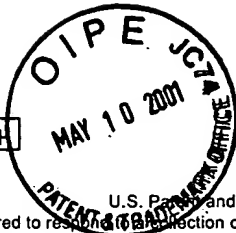
ADDITIONAL INVENTOR(S)  
Supplemental Sheet  
Page 2 of 2

Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Daniel A.		Murray	
Inventor's Signature <i>Daniel A. Murray</i>		Date <u>12/11/2000</u>	
Residence: City Atlanta	State GA	Country US	Citizenship USA
Mailing Address 8390G Roswell Road			
Mailing Address 8390G Roswell Road			
City Atlanta	State GA	ZIP 30350	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
Rick		MacConnell	
Inventor's Signature <i>Rick MacConnell</i>		Date <u>12/13/2000</u>	
Residence: City Suwanee	State GA	Country US	Citizenship USA
Mailing Address 3109 Savannah Walk Lane			
Mailing Address 3109 Savannah Walk Lane			
City Suwanee	State GA	ZIP 30024	Country US
Name of Additional Joint Inventor, if any:		<input type="checkbox"/> A petition has been filed for this unsigned inventor	
Given Name (first and middle [if any])		Family Name or Surname	
John Paul		Simpson	
Inventor's Signature <i>John Paul Simpson</i>		Date <u>12/13/2000</u>	
Residence: City Roswell	State GA	Country US	Citizenship USA
Mailing Address 130 Ashton Court			
Mailing Address 130 Ashton Court			
City Roswell	State GA	ZIP 30076	Country US

Burden Hour Statement: This form is estimated to take 21 minutes to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Please type a plus sign (+) inside this box

+



PTO/SB/81 (10-00)

Approved for use through 10/31/2002. OMB 0651-0035

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/721,421
Filing Date	November 22, 2000
First Named Inventor	William J. Wied
Group Art Unit	2163
Examiner Name	
Attorney Docket Number	00-0213.06

I hereby appoint:

☒ Practitioners at Customer Number

021491



☐ Practitioner(s) named below:

Name	Registration Number

as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith.

Please change the correspondence address for the above-identified application to:

☒ The above-mentioned Customer Number.

OR

☐ Firm or  
Individual Name

Address

Address

City

State

Zip

Country

Telephone

Fax

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

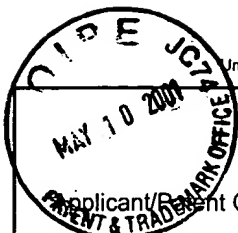
### SIGNATURE of Applicant or Assignee of Record

Name	Richard E. Green
Signature	
Date	1/8/01

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

\*Total of 1 forms are submitted.

#5



**STATEMENT UNDER 37 CFR 3.73(b)**

Applicant/Assignor Owner: CarrierPoint, Inc.

Application No./Patent No.: 09/721,421 Filed/Issue Date: November 22, 2000

Entitled: Computer Based System and Method for Facilitating Commerce Between Shippers and Carriers

CarrierPoint, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by, percentage) of its ownership interest is \_\_\_\_\_ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

1. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

11/8/01  
\_\_\_\_\_  
Date

Richard E. Green  
\_\_\_\_\_  
Typed or printed name  
[Signature]  
\_\_\_\_\_  
Signature  
Sr. Vice President and General Counsel  
\_\_\_\_\_  
Title

ASSIGNMENT

FOR GOOD AND VALUABLE CONSIDERATION, the receipt, sufficiency and adequacy of which are hereby acknowledged, the undersigned do hereby:

SELL, ASSIGN AND TRANSFER to CarrierPoint, Inc. (the "Assignee"), a Georgia corporation having a place of business at 1299 Northside Drive, Atlanta, GA 30318, the entire right, title and interest for the United States and all foreign countries in and to any and all inventions and/or improvements which are disclosed in Provisional Patent Application Serial No.: 60/245,801, filed on November 3, 2000, entitled, "IMPROVED COMPUTER BASED SYSTEM AND METHOD FOR FACILITATING COMMERCE BETWEEN SHIPPERS AND CARRIERS", and Provisional Patent Application Serial No.: 60/252,183 filed on 11-20-2000, and entitled, "IMPROVED COMPUTER BASED SYSTEM AND METHOD FOR FACILITATING COMMERCE BETWEEN SHIPPERS AND CARRIERS INCORPORATING IMPROVED DATADEPOT FUNCTIONALITY," such provisional applications, and all non-provisional, divisional, continuing, substitute, renewal, reissue and all other applications for patent which have been or shall be filed in the United States and all foreign countries on any of such inventions and/or improvements; all original and reissued patents which have been or shall be issued in the United States and all foreign countries on such inventions and/or improvements; and specifically including the right to file foreign applications under the provisions of any convention or treaty and claim priority based on such provisional applications in the United States;

AUTHORIZE AND REQUEST CarrierPoint's attorney, David L. Berdan of Lanier Ford Shaver and Payne, P.C., located in Huntsville, Alabama, to insert, where indicated above, serial number and filing date of the Provisional Patent Application when known;

AUTHORIZE AND REQUEST the issuing authority to issue any and all United States and foreign patents granted on such inventions and/or improvements to the Assignee;

WARRANT AND COVENANT that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been or will be made to others by the undersigned, and that the full right to convey the same as herein expressed is possessed by the undersigned;

COVENANT, when requested and at the expense of the Assignee, to carry out in good faith the intent and purpose of this assignment, the undersigned will execute all non-provisional, divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all such inventions and/or improvements; execute all rightful oaths, declarations, assignments, powers of attorney and other papers; communicate to the Assignee all facts known to the undersigned relating to such inventions and/or improvements and the history thereof; and generally do everything possible which the Assignee shall consider desirable for vesting title to such inventions and/or improvements in the Assignee, and for securing, maintaining and enforcing proper patent protection for such inventions and/or improvements;

TO BE BINDING on the heirs, assigns, representatives and successors of the undersigned and extend to the successors, assigns and nominees of the Assignee.

Signature: \_\_\_\_\_

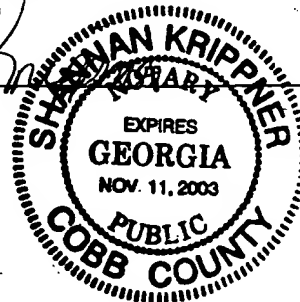
William J. Wied

Date: 12/11/00

STATE OF GEORGIA  
COUNTY OF COBB

BEFORE ME, the undersigned authority, on this 11th day of December, 2000, personally appeared William J. Wied, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Sharon K. Krupner  
Notary Public

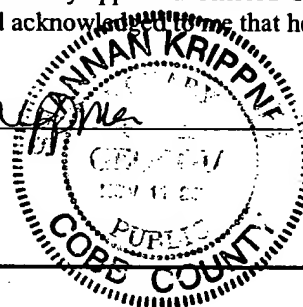


Signature: \_\_\_\_\_

Clifford C. Isaacson

Date: 12/20/00STATE OF GEORGIA  
COUNTY OF COBB

BEFORE ME, the undersigned authority, on this 20th day of December, 2000, personally appeared Clifford C. Isaacson, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

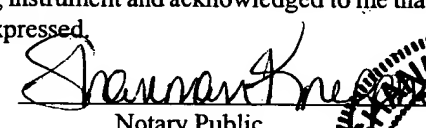
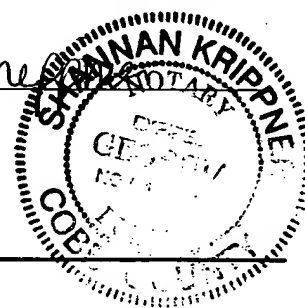
  
 Notary Public


Signature: \_\_\_\_\_

John S. Thomson

Date: 12/20/00STATE OF GEORGIA  
COUNTY OF COBB

BEFORE ME, the undersigned authority, on this 20th day of December, 2000, personally appeared John S. Thomson, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

  
 Notary Public


Signature: \_\_\_\_\_

Brian H. Flint

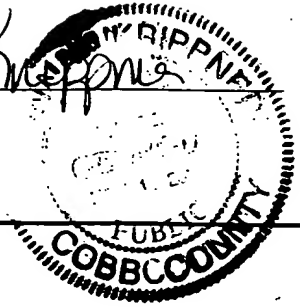
Date: 12/19/00STATE OF GEORGIA  
COUNTY OF COBB

BEFORE ME, the undersigned authority, on this 19th day of December, 2000, personally appeared Brian H. Flint, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the



same of his own free will for the purposes and consideration therein expressed

*Shannon K. Rippe*  
Notary Public



Signature: \_\_\_\_\_

Diane L. Dreher

Date: \_\_\_\_\_

12/7/2000

STATE OF GEORGIA  
COUNTY OF COBB

BEFORE ME, the undersigned authority, on this 11<sup>th</sup> day of December, 2000, personally appeared Diane L. Dreher, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Notary Public



Signature: \_\_\_\_\_

Daniel A. Murray

Date: \_\_\_\_\_

12/11/2000

STATE OF GEORGIA  
COUNTY OF COBB

BEFORE ME, the undersigned authority, on this 11<sup>th</sup> day of December, 2000, personally appeared Daniel A. Murray, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Notary Public



Signature: \_\_\_\_\_

Rick MacConnell

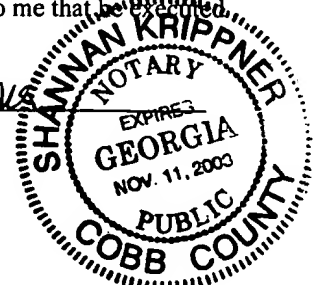
Date: \_\_\_\_\_

12/13/2000

STATE OF GEORGIA  
COUNTY OF COBB

BEFORE ME, the undersigned authority, on this 13<sup>th</sup> day of December, 2000, personally appeared Rick MacConnell known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Notary Public



Signature: \_\_\_\_\_

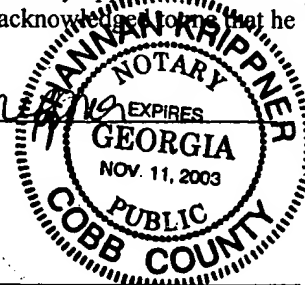
John Paul Simpson  
John Paul Simpson

Date: \_\_\_\_\_

12/13/2000STATE OF GEORGIA  
COUNTY OF COBB

BEFORE ME, the undersigned authority, on this 13th day of December, 2000, personally appeared John Paul Simpson, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Notary Public



Signature: \_\_\_\_\_

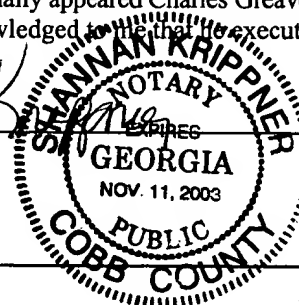
Charles Greaves  
Charles Greaves

Date: \_\_\_\_\_

12/13/2000STATE OF GEORGIA  
COUNTY OF COBB

BEFORE ME, the undersigned authority, on this 13th day of December, 2000, personally appeared Charles Greaves, known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Notary Public



Signature: \_\_\_\_\_

Don Lichvarcik  
Don Lichvarcik

Date: \_\_\_\_\_

12/21/2000STATE OF GEORGIA  
COUNTY OF COBB

BEFORE ME, the undersigned authority, on this 21st day of December, 2000, personally appeared Don Lichvarcik known to me to be the person whose name is subscribed to the foregoing instrument and acknowledged to me that he executed the same of his own free will for the purposes and consideration therein expressed.

Notary Public

